

An Analog Characterization of Elementarily Computable Functions over the Real Numbers

Olivier Bournez and Emmanuel Hainry

LORIA/INRIA, Nancy, France

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1. Introduction

The discrete world

The continuous world

2. Continuous models

Recursive analysis

Class \mathcal{G}

Class \mathcal{L}

3. Extension of \mathcal{L}

New schemata

Properties of \mathcal{L}^*

Characterization of $\mathcal{E}(\mathbb{R})$

4. Conclusion

Discrete, Continuous

- ▶ Discrete world: computing over \mathbb{N} in discrete time.
(Turing machines, automata...)
- ▶ Continuous world: computing over \mathbb{R}
 - ▶ in discrete time.
(Recursive analysis, BSS machines)
 - ▶ in continuous time.
(General Purpose Analog Computer, Neural networks...)

Discrete World

Church's thesis: All reasonable discrete computational models compute the same functions.

Turing machines, as well as 2-stack automata compute recursive functions ($\mathcal{R}ec = [0, S, U; COMP, REC, MU]$).

Sub-recursive functions

$$\mathcal{E} = [0, S, U, +, \ominus; COMP, BSUM, BPROD]$$

$$\mathcal{E}_n = [0, S, U, +, \ominus, E_{n-1}; COMP, BSUM, BPROD]$$

$$\mathcal{PR} = [0, U, S; COMP, REC]$$

With

$$E_0(x, y) = x + y$$

$$E_1(x, y) = (x + 1) \times (y + 1)$$

$$E_2(x) = 2^x$$

$$E_{n+1}(x) = E_n^{[x]}(1) \text{ for } n \geq 2 \quad \text{with} \quad f^{[0]}(x) = x$$

$$f^{[d+1]}(x) = f^{[d]}(x)$$

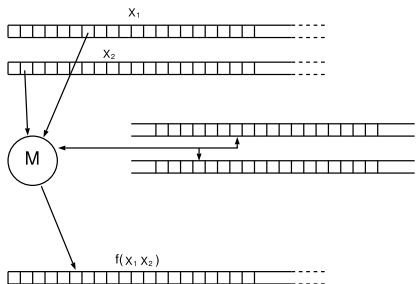
Continuous World

Several models:

- ▶ Recursive analysis
- ▶ GPAC
- ▶ \mathbb{R} -recursive functions
- ▶ Optical models
- ▶ ...

But no equivalent of Church thesis.

Recursive analysis: type 2 machines



A tape represents a real number:

Let $\nu_{\mathbb{Q}}$ be a representation of the rational numbers.

$(x_n) \rightsquigarrow x$ iff $\forall i, |x - \nu_{\mathbb{Q}}(x_i)| < \frac{1}{2^i}$

M behaves like a Turing Machine

Read-only one-way input tapes

Write-only one-way output tape.

Elementarily computable functions

Definition (Elementarily computable functions on compact domains)

A function $f : [a, b] \rightarrow \mathbb{R}$ with $a, b \in \mathbb{Q}$ is elementarily computable iff there exists $\phi : \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$ elementary such that

$$\forall X \rightsquigarrow x, (\phi(X)) \rightsquigarrow f(x).$$

Definition (Elementarily computable functions on other domains)

A function $f : [a, b] \rightarrow \mathbb{R}$ with $a, b \in \mathbb{Q}$ is elementarily computable iff there exists $\phi : \mathbb{N}^{\mathbb{N}} \times \mathbb{N} \rightarrow \mathbb{N}^{\mathbb{N}}$ elementary such that

$$\forall M < b, \forall x \in [a, M], \forall X \rightsquigarrow x, (\phi(X, M)) \rightsquigarrow f(x).$$

Class \mathcal{G} ([Moore96])

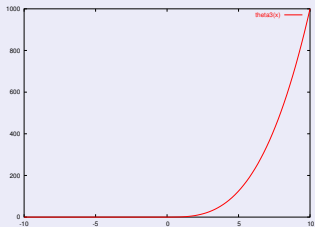
Rec	\mathcal{G}
0	0
S	1
U	U
$Comp$	$Comp$
$REC : f, g \mapsto h$ $h(x, 0) = f(x)$ $h(x, n + 1) = g(x, n, h(x, n))$	$INT : f, g \mapsto h$ $h(x, 0) = f(x)$ $\partial_y h(x, y) = g(x, y, h(x, y))$
$MU : x, f \mapsto \min\{y; f(x, y) = 0\}$	$MU : x, f \mapsto \inf\{y f(x, y) = 0\}$

Troubles with \mathcal{G}

- ▶ Not always well defined ($0 \times +\infty = 0$, integration on non integrable functions...)
- ▶ Contains bad functions ($\chi_{\mathbb{Q}}$ which is nowhere continuous)
- ▶ Not physically reasonable (Zeno paradox \Rightarrow infinite energy required)

Class \mathcal{L} ([Campagnolo00])

\mathcal{G}	\mathcal{L}'
0	0
1	1, -1, π
U	U
<i>Comp</i>	<i>Comp</i>
$INT : f, g \mapsto h$ $h(x, 0) = f(x)$ $\partial_y h(x, y) = g(x, y, h(x, y))$	$LI : f, g \mapsto h$ $h(x, 0) = f(x)$ $\partial_y h(x, y) = g(x, y)h(x, y)$
<i>MU</i>	

Definition of \mathcal{L} Definition (θ_3)

$$\theta_3(x) = \begin{cases} 0 & \text{if } x < 0 \\ x^3 & \text{if } x \geq 0 \end{cases}$$

Definition (\mathcal{L})

$$\mathcal{L} = [0, 1, -1, \pi, U, \theta_3; \text{COMP}, LI]$$

Properties of \mathcal{L}

Theorem (Campagnolo)

$$\mathcal{L} \subset \mathcal{E}(\mathbb{R})$$

Theorem (Campagnolo)

$$\mathcal{E} \subset DP(\mathcal{L})$$

All discrete elementary functions have a real extension in \mathcal{L} .

Definition of \mathcal{L}_n Definition (\bar{E}_n)

$$\exp_2(n) = 2^n$$

$$\exp_{i+1}(n) = \exp_i^{[n]}(1)$$

\bar{E}_n is a monotonous real extension of \exp_n .

Definition (\mathcal{L}_n)

$$\mathcal{L}_n = [0, 1, -1, \pi, U, \theta_3, E_{n-1}^-; \text{COMP}, \text{LI}].$$

Properties of \mathcal{L}_n

Theorem (Campagnolo)

$$\mathcal{L}_n \subset \mathcal{E}_n(\mathbb{R})$$

Theorem (Campagnolo)

$$\mathcal{E}_n \subset DP(\mathcal{L}_n)$$

All \mathcal{E}_n -computable functions over \mathbb{N} have a real extension in \mathcal{L}_n .

Observation

\mathcal{L} fails to characterize elementarily computable functions over the reals.

Question: How can we characterize elementarily computable functions over the reals?

Observation

$\mathcal{E}(\mathbb{R})$ is not stable by composition.

Definition of a weaker Composition schema

Definition (COMP)

$COMP(f, g)$ is defined only if there exists a product of closed intervals C such that $Range(f) \subseteq C \subseteq Domain(g)$.

$$COMP(f, g)(\vec{x}) = g(f(\vec{x})).$$

Remark: For total functions, this schema remains the classical one.

Definition of a limit operator

Definition (LIM)

Let $f : \mathbb{R} \times \mathcal{D} \rightarrow \mathbb{R}$ and a polynomial $\beta : \mathcal{D} \rightarrow \mathbb{R}$ such that $\exists K$ such that $\forall t, x$,

$$\left\| \frac{\partial f}{\partial t}(t, x) \right\| \leq K \exp(-t\beta(x))$$

$$\left\| \frac{\partial^2 f}{\partial t \partial x}(t, x) \right\| \leq K \exp(-t\beta(x))$$

Then, on an interval $I \subset \mathbb{R}$ where $\beta(x) > 0$, $F = LIM(f, \beta)$ is defined by

$$F(x) = \lim_{t \rightarrow +\infty} f(t, x)$$

if this function is \mathcal{C}^2 .

New classes

$$\mathcal{L}^* = [0, 1, -1, U, \theta_3; COMP, LI, LIM]$$

$$\mathcal{L}_n^* = [0, 1, -1, U, \theta_3, E_{n-1}^-; COMP, LI, LIM]$$

Basic properties of \mathcal{L}^*

- ▶ $\frac{1}{x} : \begin{cases} \mathbb{R}^{>0} & \rightarrow \mathbb{R} \\ x & \mapsto \frac{1}{x} \end{cases}$ belongs to \mathcal{L}^* :

$$\text{Let } E = LI(0, \exp(-tx)). \quad E(t, x) = \begin{cases} \frac{1 - \exp(-tx)}{x} & \text{if } x \neq 0 \\ t & \text{if } x = 0 \end{cases}.$$

$$\text{And } \frac{1}{x} = LIM(E, x \mapsto x).$$

- ▶ $\pi \in \mathcal{L}^*$:

$$1 + x^2 \in \mathcal{L}^*, \quad \frac{1}{1+x^2} \in \mathcal{L}^*.$$

$$\arctan = LI(0, \frac{1}{1+x^2}) \text{ and } \pi = 4 \arctan(1).$$

- ▶ $\mathcal{L} \subsetneq \mathcal{L}^*$

Characterization of $\mathcal{E}(\mathbb{R})$

Proposition

$$\mathcal{L}^* \subseteq \mathcal{E}(\mathbb{R})$$

Proposition

Let f a \mathcal{C}^2 function defined on a compact domain,
if $f \in \mathcal{E}(\mathbb{R})$, then $f \in \mathcal{L}^*$.

Characterization of $\mathcal{E}(\mathbb{R})$

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Proposition

Let f a \mathcal{C}^2 function defined on a compact domain,
if $f \in \mathcal{E}(\mathbb{R})$, then $f \in \mathcal{L}^*$.

Theorem

If f is of class \mathcal{C}^2 , has a compact domain,

$$f \in \mathcal{E}(\mathbb{R}) \Leftrightarrow f \in \mathcal{L}^*.$$

Characterization of $\mathcal{E}_n(\mathbb{R})$

Proposition

$$\mathcal{L}_n^* \subseteq \mathcal{E}_n(\mathbb{R})$$

Proposition

Let f a \mathcal{C}^2 function defined on a compact domain,
if $f \in \mathcal{E}_n(\mathbb{R})$, then $f \in \mathcal{L}_n^*$.

Theorem

If f is of class \mathcal{C}^2 , has a compact domain,

$$f \in \mathcal{E}_n(\mathbb{R}) \Leftrightarrow f \in \mathcal{L}_n^*$$

Results

- ▶ Machine-independent characterization of real elementarily computable functions.
- ▶ For \mathcal{C}^2 functions defined on a compact domain,

$$f \in \mathcal{E}(\mathbb{R}) \Leftrightarrow f \in \mathcal{L}^*$$

$$f \in \mathcal{E}_n(\mathbb{R}) \Leftrightarrow f \in \mathcal{L}_n^*$$

- ▶ Normal form:
If $f \in \mathcal{L}^*$ or \mathcal{L}_n^* , f can be written with at most two *LIM*.

Perspectives

- ▶ What about functions over \mathbb{R} ?
- ▶ Improving our characterization:
 - ▶ weaker limit schema
 - ▶ avoiding limits
 - ▶ improving normal form theorem
- ▶ Characterizing computable functions over the reals